

Attorney Docket No.: 901033-1005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Qi Xu, et al.

Serial No.: 09/808,299

Filing Date: 03/14/01

Group Art Unit: 3673

For: VORTEX-INDUCED VIBRATION REDUCTION DEVICE FOR FLUID
IMMERSED CYLINDERS

Commissioner for Patents
Washington, D.C. 20231

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Supplemental Information Disclosure Statement

As listed on the accompanying form PTO-1449, applicants submit with this copies of the indicated references which applicants believe may be material to the examination of the above-identified application, and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56. Applicants supplement the Information Disclosure Statement and form PTO 1449 filed on June 7, 2001.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Further, the filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Written notification that the enclosed references have been considered in their entirety by return of a copy of the enclosed form, completed by the Examiner, is respectfully requested.

**Identification Of Time Of Filing The
Information Disclosure Statement**

- ☐ This Information Disclosure Statement is being filed within three months of the filing date of the national application.
- ☐ This Information Disclosure Statement is being filed within three months of the date of entry of the national stage as set forth in §1.491 in an international application.

☒ This Supplemental Information Disclosure Statement is being filed before the mailing date of the First Office Action on the merits.

☐ This Information Disclosure Statement is being filed after three months of the filing date of the national application or after three months of the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office Action on the merits, but before the mailing date of either a final action under § 1.113 or a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application.

Accompanying this transmittal is:

☐ a statement specified in 37 CFR § 1.97(e); or

☐ a fee set forth in 37 CFR § 1.17(p)

☐ This Information Disclosure Statement is being filed after the mailing date of a final action under § 1.113, or a notice of allowance under § 1.311 but before the payment of the issue fee.

Accompanying this transmittal is:

1. a statement as specified in 37 CFR § 1.97(e); and

2. a fee set forth in 37 CFR § 1.17(p)

Statement For Information Disclosure Statement 37 CFR 1.97(E)
(Only if Required Above)

I, the person(s) signing below hereby states that:

☐ first each item of information contained in the information disclosure statement was cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 CFR § 1.97(e)(1); or

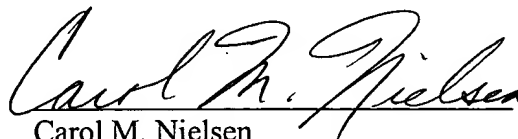
☐ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in the counterpart foreign application to the knowledge of the person signing the certification after making reasonably inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the statement. 37 CFR § 1.97(e)(2).

Fee Payment

[] attached is a check in the amount of \$
[] charge Deposit Account No. 07-0153
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Applicants believe that no additional fees are due for the filing of this IDS. However, if any additional fees are due, or any overpayments have been made, please charge, or credit, Deposit Account No. **07-0153**.

Dated: August 24, 2001



Carol M. Nielsen
Attorney for Assignee
Registration No.: 37,676

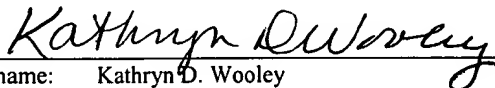
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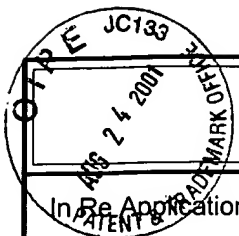
I certify that the accompanying paper is being deposited with the United States Postal Service and sent "Via Express Mail" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, DC 20231.



Typed name: Kathryn D. Wooley

08-27-01

3673



TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
901033-1005

In Re Application Of: Qi Xu, et al.

Serial No.
09/808,299

Filing Date
March 14, 2001

Examiner

Group Art Unit
3673

Title:

VORTEX-INDUCED VIBRATION REDUCTION DEVICE FOR FLUID IMMERSED CYLINDERS

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

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1. Supplemental Information Disclosure Statement;
2. Form PTO-1449 (Information Disclosure Citation) with copies of referenced documents; and
3. Acknowledgment Postcard.

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **07-0153** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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Carol M. Nielsen
Signature

Dated: August 24, 2001

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I certify that this document and fee is being deposited on 8/24/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Kathryn D. Wooley
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Kathryn D. Wooley

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